

National Stock Exchange Of India Limited

Department : INVESTIGATION

Download Ref No: NSE/INVG/45679

Date : September 11, 2020

Circular Ref No: 151/2020

To All NSE Members

Sub: SEBI Order in the matter of Modex International Securities Limited.

This is with reference to NSE Circular No. NSE/INVG/44289 dated April 30, 2020 wherein SEBI vide its Order No. WTM/AB/SEBI/MIRSD/NRO/02/2020-21 dated April 30, 2020 has restrained various entities from accessing the securities market and are further prohibited from buying, selling or otherwise dealing in securities, either directly or indirectly, or being associated with the securities market in any manner whatsoever, till further directions.

SEBI vide its Order No. WTM/AB/MIRSD/NRO/09/2020-21 dated September 11, 2020 hereby confirmed the directions issued in the interim order dated April 30, 2020 against the below mentioned entities:

Sr No	Name	PAN
1	Modex International Securities Limited	AAACM2105K
2	Mr. Dharmendra Kumar Arora	ABEPA0955B
3	Mr. Pavan Kumar Sachdeva	AAZPS4975R

Further, the directions issued in the interim order dated April 30, 2020 against the below mentioned entities are revoked.

Sr No	Name	PAN
1	Mr. Ajay Jain	AGXPJ1124B
2	Mr. Parminder Singh Kindra	AAIPK2244G
3	Mr. Sanjay Mohan Uniyal	AACPU2128H
4	Ms. Sarika Chawla	AFVPC0852J
5	Mr. Sharda Gupta	AAKPG7214J
6	Mr. Vikram Duggal	AHTPD5588N
7	Mr. Suresh Agarwal	CKAPA4494A

This Order shall come into force with immediate effect.



The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Home-Regulation-Members-Action against Members-Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr. Pratik Maheshwari (Extn: 22378), Mr. Chirag Jain (Extn: 22480)

Direct No: 022-26598417/18 Fax: 022-26598195

**For and on behalf of
National Stock Exchange of India Limited**

**Binoy Yohannan
Chief Manager**